

AUDITING AND GOVERNANCE RISK AND COMPLIANCE

Acquire and apply the latest auditing, governance, risk, and compliance leadership practices to strengthen accountability and organizational integrity.



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COURSE OBJECTIVES

This course is designed for auditors, compliance officers, risk managers, and team leaders tasked with ensuring governance and accountability within organizations. It provides participants with the tools to oversee auditing processes, evaluate risks, and implement effective compliance frameworks in line with regulatory and organizational standards.

The course emphasizes the integration of auditing with governance and risk management, focusing on ethical decision-making, accountability, and transparency. It equips learners with leadership skills to guide teams, monitor performance, and ensure that controls, reporting, and compliance measures contribute to organizational resilience. Participants will also explore practical strategies for managing regulatory requirements, preventing fraud, and promoting a culture of governance and risk awareness.



COURSE OUTCOMES

- understand the role of auditing and GRC in organizational accountability and sustainability.
- Analyse the shift from traditional compliance approaches to integrated GRC frameworks.
- Apply auditing principles to evaluate internal controls, processes, and compliance requirements.
- Gain confidence in leading risk management initiatives and compliance teams.
- Develop skills to identify, assess, and mitigate organizational risks effectively.
- Build strong ethical foundations for decision-making and stakeholder communication.
- Apply conflict resolution and problem-solving strategies in governance and compliance settings.
- Monitor and report on performance using auditing standards, compliance KPIs, and risk indicators.
- Manage time, budgets, and quality when implementing GRC initiatives.
- Take ownership of personal and professional growth in auditing and compliance leadership.



COURSE OUTLINE

Module 1: Foundations of Auditing & Governance

- Why auditing, governance, and compliance are essential for organizations
- Shifts from reactive compliance to proactive governance
- Core auditing principles and governance structures

Module 2: Risk Management & Compliance Frameworks

- Identifying and assessing organizational risks
- Regulatory and compliance requirements (local and global)
- Designing internal policies and control frameworks

Module 3: Leadership in Auditing & GRC

- Leadership styles in risk, compliance, and auditing environments
- Facilitating ethical culture and corporate governance meetings
- Managing conflict in compliance and audit findings

Module 4: Auditing & Compliance Operations

- Conducting audits (internal, external, financial, and operational)
- Delegation of tasks in compliance monitoring and auditing teams
- Fraud detection and prevention strategies



COURSE OUTLINE

Module 5: Monitoring, Reporting & Accountability

- **Monitoring compliance and risk performance indicators**
- **Preparing audit reports and dashboards for stakeholders**
- **Managing financial, operational, and reputational risks**
- **Ensuring transparency and accountability**

Module 6: Sustaining Governance & Risk Culture

Motivating teams to embrace compliance and risk awareness

Embedding ethics and accountability in everyday practices

Innovating governance through digital audit tools and automation

Personal growth as an auditing and compliance leader

- ✓ **Internal & External Auditing**
- ✓ **Governance & Accountability**
- ✓ **Risk Identification & Mitigation**
- ✓ **Compliance Frameworks**
- ✓ **Fraud Detection & Prevention**
- ✓ **Reporting & Transparency**
- ✓ **Ethical Leadership**
- ✓ **Digital Audit Tools**

